



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,323	08/02/2001	Joshua J.D. Martin	088305-0135

William T. Ellis  
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3000 K Street, N.W. Suite 500  
Washington, DC 20007-5109



CONFIRMATION NO. 4997

## FORMALITIES LETTER



\*OC000000006530296\*

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$534.
  - \$54 for 3 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1374.**

*A copy of this notice **MUST** be returned with the reply.*

*Sandy*  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/03/01 10:00:00 00000000 00000000

710.00	EP
130.00	EP
34.00	EP
104.00	EP



Atty. Dkt. No. 088305-0135

✓ Sector

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Joshua J.D. MARTIN et al.  
Title: METHOD OF CONDUCTING AN  
ELECTRONIC ROLLING AUCTION  
PERMITTING THE AUCTION SPONSOR  
TO MAKE CHANGES TO THE  
AUCTIONED ITEM  
Appl. No.: 09/920,323  
Filing Date: 08/02/2001  
Examiner: Unassigned  
Art Unit: 2165

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on September 7, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Declaration and Power of Attorney (3 pages)
- [ X ] Return Copy of Notice to File Missing Parts
- [ X ] Petition for Extension of time
- [ X ] Information Disclosure Statement (2 pages)
- [ X ] Form PTO-1449 (1 page) and 6 references

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$740.00		\$740.00
Total Claims:	23	-	20	=	3	x	\$18.00	=	\$54.00
Independents:	9	-	3	=	6	x	\$84.00	=	\$504.00
If any Multiple Dependent Claim(s) present:						+	\$280.00	=	\$0.00
Fee for Petition for Two Month Extension of Time						+	\$400.00	=	\$400.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee						+	\$130.00	=	\$130.00
							TOTAL:	=	\$1828.00

☒ Check in the amount of \$1,828.00 (\$740.00 in payment of official Government filing fee, including \$130.00 surcharge fee (37 C.F.R. § 1.16(e)), \$54.00 fee for 3 extra dependent claims over 20, \$504.00 for 6 extra independent claims over 3, and \$400.00 fee for Petition for Two-Month Extension of Time.

☐ Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 17, 2001

By 

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

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